

ABA National Compliance School

FALL 2015 SESSION | October 17–23, 2015

Emory Conference Center and Hotel | 1615 Clifton Road NE, Atlanta, GA 30329



REGISTRATION FORM

HOW TO APPLY

Mail:
Connie Laguna
Center for Financial Training,
Southeastern
c/o Miami Dade College
245 NE 4th St., Rm. 3704-34
Miami, FL 33132
Phone: 305-237-3051
Fax: 305-237-7587
Please be sure to include
credit card information.

Attendance Limitations and Liability
Enrollment is limited. Attendance at all ABA programs will be considered on a first-come, first-served basis. If a school is cancelled, ABA liability is limited to the application fee.

Satisfaction Guaranteed!

The ABA Guarantee:
ABA guarantees that the ABA Compliance Schools will meet or exceed your expectations. If they do not, simply let us know in writing, and we'll refund your full tuition fee, no questions asked.

Cancellations/Substitutions/Refunds
If you cancel after acceptance, you may transfer your payment to the next available session or to another qualified candidate from your institution. If you must cancel more than 30 days before the start of the session you will receive a tuition refund less a \$350 processing fee. If you cancel 16–30 days before the start of the session, you will receive a tuition refund of 50%. If you cancel less than 15 days before the start of the session you are not eligible for a refund. All cancellations must be made in writing to the Program Director.

Consent to Use Photographs and Videos

All attendance of registered participants, attendees, exhibitors, sponsors and guests ("you") at American Bankers Association's ("ABA") conventions, conferences, meetings or related activities, including all ABA subsidiaries and affiliates, ("Events") constitutes an agreement between you and ABA regarding the use and distribution of your image, including but not limited to your name, voice and likeness ("Image"). By attending the Events, you acknowledge and agree that photographs, video and/or audio recordings may be taken of you and you grant ABA the right to use, in perpetuity, your Image in any electronic or print distribution, or by other means hereinafter created, both now and in the future, for any lawful purpose as determined by ABA. For any questions or concerns about the use of your Image, please contact Member Services at CustServ@aba.com.

Legal Disclaimer

ABA provides professional development opportunities for employees of membership-eligible institutions. These include community, regional and money center banks and holding companies as well as savings associations, trust companies, savings banks and service members. Government agency employees also qualify.

FALL 2015 TUITION FEES (Please check appropriate boxes.)

Both Modules October 17–23, 2015 Member/Service Member/Gov't/ICB \$3,495 Nonmember \$4,495
Deposit/Operations Module October 17–20, 2015 Member/Service Member/Gov't/ICB \$2,495 Nonmember \$3,295
Lending Compliance Module October 20–23, 2015 Member/Service Member/Gov't/ICB \$2,495 Nonmember \$3,295

Note: Your payment of tuition fees does not automatically guarantee acceptance of your application. ABA will contact you regarding your status upon review of your application.

PAYMENT

VISA American Express Diners Club
 Discover MasterCard Optima
 Check (Check payable to American Bankers Association for tuition only.
Attach a copy of this form to your check and mail to Registration Coordinator/ABA Compliance School.)
 Send invoice (upon request) and I will submit payment later

NAME ON CARD *Note: I agree to pay the total amount according to the card issuer agreement. Credit card will not be processed until the application has been accepted.*

CARD# _____ EXP. DATE _____

PERSONAL INFORMATION (Enter name as it should appear on your diploma.)

Name _____

Nickname (for badge) _____

Title _____

Financial Institution or Company _____

Work Address _____

City _____ State _____ ZIP _____ Country _____

Work Phone _____ Fax _____ Home Phone _____

E-mail _____

PROMO CODE SFCFT11

NOMINATING OFFICER'S INFORMATION

The submission of this application must be approved by your organization. Please provide the contact information of the Nominating Officer (president, department head, personnel officer, or other authorized bank executive).

Officer's Name _____

Title _____

Address _____

City _____ State _____ ZIP _____ Country _____

Work Phone _____ Fax _____

E-mail _____

ABA Non-Discrimination Policy

The American Bankers Association reaffirms its standing policy of non-discrimination in employment and in all programs and activities with respect to race, color, religion, national origin, sex, age, marital status, personal appearance, sexual orientation, gender identity or expression, family responsibilities, genetic information, disability, matriculation, or political affiliation. In addition, the ABA Schools operate on the basic principle of respectful treatment for all persons and, accordingly, are firmly committed to ensuring a harassment-free, positive learning environment for all its students.

APPLICANT INFORMATION

How did you first learn of this school? *Select One:*

Advertisement Browsing the Internet Direct Mail
 E-mail Fax Word of Mouth/Colleague
 Other

Total Years in Banking

Less than 1 yr 1-2 yrs 3-4 yrs 5-7 yrs
 8-10 yrs 11-15 yrs 16-19 yrs 20 yrs +

Total Years in Field Pertaining to School

Less than 1 yr 1-2 yrs 3-4 yrs 5-7 yrs
 8-10 yrs 11-15 yrs 16-19 yrs 20 yrs +

Type of Bank Experience

Account Officer Loan/Credit Administration
 Auditing Marketing
 Bank Examiner Mortgage
 Branch Management New Business Development
 Commercial/Corporate Lending Operations/Systems
 Compliance Public Relations/Communications
 Estate Administration Risk
 Finance/Accounting Retail/Trust Investments
 General Administration Sales
 Investments Strategic Planning
 Legal Wealth Management

Educational Background

Some College Master's Degree
 Associate's Degree PhD or JD
 Bachelor's Degree

Special Needs

If you have special needs that may affect your participation in this event, please check here and describe below. ABA will contact you to discuss accommodations.

Please print this page for your records before submitting.